

Audit and Governance Committee Work Programme

Forward Plan

Chairman: Cllr David Harris
Vice Chairman: Cllr Clare Sutton

Agreed Items (yet to be scoped and/or scheduled)

All items that have been agreed for coverage by the Committee have been scheduled in the Forward Plan accordingly.

Date of Meeting		Item	Purpose / Key Lines of Enquiry (KLOE)	Lead Member/Officer
20 September 2017 (10.00am)	1	<u>Budget Monitoring Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	2	<u>Treasury Management and Prudential Code Review</u>	To consider an update on the economic background and performance against the annual investment strategy and compliance with the Prudential Code.	David Wilkes Finance Manager (Treasury and Investments)
	3	<u>Internal Audit Quarterly Report</u>	To receive a report on SWAP's independent work and assess the Council's risk, governance and control framework.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	4	<u>Update on Property Rationalisation Programme</u>	To consider a further update on the property rationalisation programme.	Peter Moore Service Director – Environment Peter Scarlett Estate & Assets Service Manager
	5	<u>Constitutional Changes</u>	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
January 2018 (date to be advised)	1	<u>Budget Monitoring Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	2	<u>Internal Audit Quarterly Report</u>	To receive a report on SWAP's independent work and assess the Council's risk, governance and control framework.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	3	<u>Treasury Management Year to Date Update</u>	To consider the update on treasury management 2017-18.	Tom Wilkinson Group Finance Manager

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	4	<u>Corporate Plan: Outcomes Focussed Monitoring Report</u>	To consider and comment upon the monitoring report for the quarter and agree any future actions with regard to the issues raised.	John Alexander Policy and Performance Manager
	5	<u>Ironman 2017- Post Event Report</u>	To consider a report following the Ironman event held in September 2017.	Cabinet Member – Environment, Infrastructure and Highways / Andrew Martin Service Director – Highways & Emergency Planning
	6	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
March 2018 <i>(date to be advised)</i>	1	<u>Budget Monitoring Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	2	<u>Internal Audit Plan</u>	To consider the Internal Audit Plan for the forthcoming year.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	3	<u>Corporate Plan: Outcomes Focussed Monitoring Report</u>	To consider and comment upon the monitoring report for the quarter and agree any future actions with regard to the issues raised.	John Alexander Policy and Performance Manager
	4	<u>Annual Audit Letter</u>	This report summarises the key findings from the audit of Dorset County Council.	John Oldroyd Senior Manager, KPMG
	5	<u>External Audit Plan</u>	To consider the External Audit Plan for 2017/18.	John Oldroyd Senior Manager, KPMG
	6	<u>Draft Annual Governance Statement and Local Code of Corporate Governance</u>	To consider the Annual Governance Statement which sets out key features of the governance framework in place in the Authority and provides a review of its effectiveness.	Mark Taylor Group Manager (Governance and Assurance)

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	7	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
July 2018 <i>(date to be advised)</i>	1	<u>Annual Internal Audit Report</u>	To receive the annual report of internal audit activity and to provide an independent opinion on the Council's governance, risk and control framework for 2017/18.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	2	<u>Statement of Accounts</u> (including Annual Governance Statement)	To consider the Statement of Accounts for 2017/18 that has been reviewed by the Authority's external auditor, KPMG	Jim McManus Chief Accountant
	3	<u>External Audit Report 2017/18</u> (ISA 260 Report)	To consider the External Auditor's report to "Those charged with Governance".	John Oldroyd Senior Manager, KPMG
	4	<u>Budget Monitoring Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	5	<u>Debt Recovery Performance</u>	To review the debt recovery performance of the County Council during 2017/18, and review the status of the Authority's debt collection systems and procedures.	Sarah Baker Group Finance Manager
	6	<u>Corporate Plan: Outcomes Focussed Monitoring Report</u>	To consider and comment upon the monitoring report for the quarter and agree any future actions with regard to the issues raised.	John Alexander Policy and Performance Manager
	7	<u>External Funding Monitoring Report</u>	To consider measures of bidding performance and areas of interest in relation to external funding.	Laura Cornette Corporate Policy and Performance Officer

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	8	<u>Corporate Compliments and Complaints Annual Report</u>	To consider the Corporate Compliments and Complaints Annual Report 1 April 2017 to 31 March 2018.	Julie Taylor Senior Assurance Manager (Complaints)
	9	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
Other draft items / issues identified for potential review				

Debbie Ward
Chief Executive
July 2017